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| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | Application Number | 10/774,682 |
| | Filing Date | 02/09/2004 |
| | First Named Inventor | Rueckes et al. |
| | Art Unit | 2811 |
| | Examiner Name | TBA |
| Total Number of Pages in This Submission | Attorney Docket Number | 112020.129US2 NAN-6 |

| ENCLOSURES (Check all that apply) | | |
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| <input type="checkbox"/> Reply to Missing Parts/Incomplete Application | 1. PTO Form 1449 (1 pg.) | |
| <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | | |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | | | |
|--|---|----------|--------|
| Firm Name | Wilmer Cutler Pickering Hale and Dorr LLP | | |
| Signature | | | |
| Printed name | Peter M. Dichiaro | | |
| Date | 2/28/05 | Reg. No. | 38,005 |

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| Signature | | | |
| Typed or printed name | Tina M. Dougal | Date | 2/28/05 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: RUECKES, et al.

Application No.: 10/774,682

Filed: February 9, 2004

For Nanotube Films and Articles

Group Art Unit: 2811

Examiner: To Be Assigned

Attorney Docket No.: 112020.129US2 NAN-6

CERTIFICATE OF MAILING (37 C.F.R. § 1.8 a)

I hereby certify that this correspondence is being deposited with the United States Postal Service under 37 CFR 1.8a and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on February 28, 2005.

By: Tina M. Dougal

Tina M. Dougal

Commissioner for Patents
P.O. Box 1450
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants and their legal representatives hereby make of record on the attached Form PTO-1449 the following publications which are known to them and considered warranting disclosure under 37 C.F.R. §1.56 and 1.97-98.

Copies of the cited U.S. Patents are not enclosed, but can be provided upon the Examiner's request. It is respectfully requested that the Examiner initial and return a copy of the subject Form PTO-1449 with the next Patent Office communication.

The submission of these publications does not constitute a representation by the Applicants that a search has been made or that no better art exists and does not constitute an admission that the listed publications are material or constitute "prior art." Applicants reserve

the right to take appropriate action to establish the patentability of the disclosed invention over the listed publications, should one or more of the publications be applied against the claims of the present application.

As this paper is being filed prior to the issuance of a first Office Action on the merits, and pursuant to 37 C.F.R. § 1.97(b)(3), no fee is believed to be due. In the event a fee is due, the Commissioner is authorized to charge any fee deficiency or credit any overpayment to Deposit Account No. 08-0219.

Respectfully submitted,

Dated: February 28, 2005



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Substitute for form 1449/PTO

Complete if Known**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

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| Application Number | 10/774,682 |
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Sheet 1 of 1

U. S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|-----------------------|--------------------------|---|--------------------------------|--|---|
| | | Number-Kind Code ^{2(If known)} | | | |
| | | US-6,495,258 | 12/17/2002 | Chen et al. | |
| | | US-6,443,901 | 09/03/2002 | Fraser, J.D. | |
| | | US-6,361,861 | 03/26/2002 | Gao et al. | |
| | | US-6,322,713 | 11/27/2001 | Choi et al. | |
| | | US-6,031,711 | 02/29/2000 | Tennent et al. | |
| | | US-5,346,683 | 09/13/1994 | Green et al. | |
| | | US- | | | |

FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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